

Supplemental

2879 #3
131**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.
PRN-30101

4-10-02

In Re Application Of: Stephen Forrest et al.

Serial No.

10/028,465

Filing Date

12/20/01

Examiner

Unassigned

Group Art Unit

2879

Title: **ORGANIC OPTOELECTRONIC DEVICE STRUCTURES**

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

Supplemental

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. PRN-30101				
In Re Application: <i>Stephen Fortkort et al.</i>							
Serial No. 10/028,465	Filing Date MAR 26 2002 PATENT & TRADEMARK OFFICE	Examiner Unassigned	Group Art Unit 2879				
ORGANIC OPTOELECTRONIC DEVICE STRUCTURES							
<p align="center">Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))</p> <p><input type="checkbox"/> A check in the amount of _____ is attached.</p> <p><input checked="" type="checkbox"/> The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-1047 as described below. A duplicate copy of this sheet is enclosed.</p> <p><input type="checkbox"/> Charge the amount of _____</p> <p><input type="checkbox"/> Credit any overpayment.</p> <p><input checked="" type="checkbox"/> Charge any additional fee required.</p> <table border="1"><thead><tr><th>Certificate of Transmission by Facsimile*</th><th>Certificate of Mailing by First Class Mail</th></tr></thead><tbody><tr><td><p>I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F)</p><p>_____ (Date)</p><p>_____ Signature</p><p>_____ Typed or Printed Name of Person Signing Certificate</p></td><td><p>I certify that this document and fee is being deposited <u>3/20/02</u> with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.</p><p><i>Marjorie Scariati</i> Signature of Person Mailing Correspondence</p><p>Marjorie Scariati Typed or Printed Name of Person Mailing Certificate</p></td></tr></tbody></table> <p>*This certificate may only be used if paying by deposit account.</p> <p><i>David B. Bonham</i> Signature</p> <p>David B. Bonham Reg. No. 34,297</p> <p>Mayer Fortkort & Williams, PC 251 North Avenue West, 2nd Floor Westfield, NJ 07090</p> <p>703-433-0510</p> <p>cc:</p>				Certificate of Transmission by Facsimile*	Certificate of Mailing by First Class Mail	<p>I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F)</p> <p>_____ (Date)</p> <p>_____ Signature</p> <p>_____ Typed or Printed Name of Person Signing Certificate</p>	<p>I certify that this document and fee is being deposited <u>3/20/02</u> with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.</p> <p><i>Marjorie Scariati</i> Signature of Person Mailing Correspondence</p> <p>Marjorie Scariati Typed or Printed Name of Person Mailing Certificate</p>
Certificate of Transmission by Facsimile*	Certificate of Mailing by First Class Mail						
<p>I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F)</p> <p>_____ (Date)</p> <p>_____ Signature</p> <p>_____ Typed or Printed Name of Person Signing Certificate</p>	<p>I certify that this document and fee is being deposited <u>3/20/02</u> with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.</p> <p><i>Marjorie Scariati</i> Signature of Person Mailing Correspondence</p> <p>Marjorie Scariati Typed or Printed Name of Person Mailing Certificate</p>						

RECEIVED
MAR 28 2002
TECHNOLOGY CENTER 2800

Supplemental
INFORMATION DISCLOSURE CITATION
 (Use several sheets if necessary)

Docket Number (Optional)
PRN-30101

Application Number
10/028,465

Applicant(s)
Stephen Forrest et al.

Filing Date
12/20/01

Group Art Unit
2879

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	5,952,778	9/14/99	Haskal et al.	313	504	
	2.	5,998,803	12/7/99	Forrest et al.	257	40	
	3.	6,046,543	4/4/00	Bulovic et al.	313	504	
	4.	6,150,043	11/21/00	Thompson et al.	428	690	
	5.	6,198,220 B1	3/6/01	Jones et al.	313	512	

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	1.	WO 99/20081	4/22/99	PCT	HO5B 33	26	✓	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	1.	Affinito, J.D., et al. "Polymer-Oxide Transparent Barrier Layers," SVC 39th Annual Technical Conference, Paper No. W-12 (1996), pp. 1-6.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.